GOVERNANCE

ACCOUNTABILITY

HEAD OF COMPLIANCE

CENTRAL FINANCE COMPANY PLC

INTEGRITY

RISK MANAGEMENT

We are seeking an experienced and highly motivated Head of Compliance to lead the compliance function of the **Central Finance Company PLC**

The successful candidate will play a critical role in maintaining a strong culture of regulatory compliance, ethical conduct, and sound corporate governance while ensuring that the institution operates in accordance with applicable laws, regulations, and regulatory requirements.

KEY RESPONSIBILITIES

- Lead and oversee the institution's overall compliance framework and compliance risk management program.
- Ensure compliance with applicable Central Bank of Sri Lanka (CBSL) regulations, directions, rules, and supervisory requirements.
- Oversee Anti-Money Laundering (AML) and Countering the Financing of Terrorism (CFT) compliance requirements.
- Monitor regulatory developments and ensure timely implementation of new laws, regulations, directions, and other regulatory requirements.
- Develop, review, and enhance compliance policies, procedures, frameworks, and controls.
- Conduct compliance risk assessments and identify potential regulatory and conduct risks.
- Establish and maintain effective compliance monitoring and testing programs, and report significant findings to Senior Management and relevant governance committees.
- Support regulatory examinations, inspections, audits, and inquiries.
- Provide independent advice to the Board, Board Committees, and Senior Management on regulatory and compliance matters.
- Promote compliance awareness and conduct training programs for employees.
- Investigate and appropriately escalate potential breaches, misconduct, and compliance issues.
- Maintain effective relationships with regulators, auditors, and other relevant stakeholders.
- Ensure appropriate escalation and remediation of identified compliance gaps.
- Develop or assist in developing appropriate Codes of Conduct and Best Practices for employees or relevant staff segments/departments of the Company.
- Ensure compliance staff are appropriately trained to meet the current as well as emerging role demand.

THE IDEAL CANDIDATE SHOULD POSSESS:

- A degree or professional qualification in **Finance, Banking, Accounting, Law, Risk Management, Compliance**, or a related discipline.
- Significant experience in compliance, risk, audit, legal, banking, or financial services, preferably within an NBFI environment.
- Strong knowledge of the Sri Lankan regulatory and financial services environment.
- Practical understanding of AML/CFT, regulatory compliance, governance, and compliance risk management.
- Strong analytical, investigative, communication, and leadership skills.
- The ability to provide independent and objective advice to senior management and the Board.
- High standards of integrity, confidentiality, professionalism, and ethical conduct.

The Head of Compliance is more than regulatory oversight position.It is a leadership role in protecting the **institution's reputation, customers, stakeholders and long term sustainability**

If you are Compliance Professional who is ready to take on a strategic leadership role. We would be pleased to hear from you!

Interested candidates are invited to submit their CVs to hrd@cf.lk.

carෙබ.lk tukෙබ.lk CF CLICK centrix

CENTRAL FINANCE COMPANY PLC

www.centralfinance.lk

Credit Rating A (lka) Outlook Stable affirmed by Fitch Ratings Lanka Limited

Central Finance Company PLC is a licensed finance company supervised by the Central Bank of Sri Lanka

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